



IRON OAK

HOME LOANS, INC.

Investors Name: _____

Address: _____

Phone #: _____

Email: _____

Vesting: _____

IRA Account Number: _____

TIN/SS# _____

Comments: _____

Please note: If you are using your trust we will need the full name of the Trust.

Investor Questionnaire

RE 870 (Rev. 9/15)

- ☐ Initial: _____ (Date Completed)
☐ Annual: _____ (Date Completed)
☐ No material changes: Check here and sign the Certifications on page two.

This questionnaire is intended to assist brokers in determining an investor's suitability for trust deed and real property sales contract investments as required by Business and Professions Code Section 10232.45.

NOTE: The California Bureau of Real Estate does not endorse or offer any opinions regarding the suitability of any proposed or existing real estate investments. A guide to trust deed investments entitled "Trust Deed Investments – What You Should Know!!" (RE35) is available on the Bureau's web site at www.calbre.ca.gov under the Publications menu.

INVESTOR

INVESTOR NAME CO-INVESTOR NAME

If the investor is an entity, this questionnaire should be completed and signed on the entity's behalf by the natural person with the authority to invest the entity's funds.

NAME OF ENTITY

TYPE OF ORGANIZATION (Limited Liability Company, Retirement Plan, Pension Plan, Partnership, etc.)

NAME OF PERSON COMPLETING THIS QUESTIONNAIRE TITLE

GENERAL INFORMATION

ADDRESS ADDRESS
TELEPHONE NUMBER TELEPHONE NUMBER
DATE OF BIRTH DATE OF BIRTH

EMPLOYMENT INFORMATION

CURRENT POSITION:	TITLE	<input type="checkbox"/> RETIRED	CURRENT POSITION:	TITLE	<input type="checkbox"/> RETIRED
	LENGTH OF TIME IN POSITION Years			LENGTH OF TIME IN POSITION Years	

PREVIOUS POSITIONS/PROFESSIONS PREVIOUS POSITIONS/PROFESSIONS

EDUCATION

HIGHEST YEAR COMPLETED HIGHEST YEAR COMPLETED
YEAR OF GRADUATION YEAR OF GRADUATION
DEGREE/DIPLOMA DEGREE/DIPLOMA

FINANCIAL SITUATION

ESTIMATED ANNUAL INCOME
☐ Under \$50,000 ☐ \$50,001 to \$100,000 ☐ \$100,001 to \$200,000 ☐ \$200,001 to \$300,000
☐ \$300,001 to \$500,000 ☐ \$500,001 to \$750,000 ☐ \$750,001 to \$1,000,000 ☐ \$1,000,001 to \$5,000,000
☐ \$5,000,001 to \$10,000,000 ☐ Over \$10,000,000

ESTIMATED NET WORTH (Do not include the value of a principal residence, home furnishings, or automobiles.)
☐ Under \$50,000 ☐ \$50,001 to \$100,000 ☐ \$100,001 to \$200,000 ☐ \$200,001 to \$300,000
☐ \$300,001 to \$500,000 ☐ \$500,001 to \$750,000 ☐ \$750,001 to \$1,000,000 ☐ \$1,000,001 to \$5,000,000
☐ \$5,000,001 to \$10,000,000 ☐ Over \$10,000,000

ESTIMATED LIQUID ASSETS (Cash in bank, readily marketable stocks or bonds)
☐ Under \$50,000 ☐ \$50,001 to \$100,000 ☐ \$100,001 to \$200,000 ☐ \$200,001 to \$300,000
☐ \$300,001 to \$500,000 ☐ \$500,001 to \$750,000 ☐ \$750,001 to \$1,000,000 ☐ \$1,000,001 to \$5,000,000
☐ \$5,000,001 to \$10,000,000 ☐ Over \$10,000,000

SOURCE OF INCOME AND CASH RESOURCES

FINANCIAL SITUATION (continued)

LIQUIDITY NEEDS – Select one of the following:

- ☐ Primary need is liquidity/cash
☐ Need some liquidity for possible quick access to cash
☐ No liquidity needed; have other sources of cash

INVESTMENT EXPERIENCE

Report investment experience in years:

- | | | | |
|---|-------|---|-------|
| <input type="checkbox"/> No investment experience | | | |
| <input type="checkbox"/> Mutual Funds | _____ | <input type="checkbox"/> Annuities | _____ |
| <input type="checkbox"/> Stocks/Shares | _____ | <input type="checkbox"/> Notes | _____ |
| <input type="checkbox"/> Real Estate | _____ | <input type="checkbox"/> Other (specify): | _____ |
| | | <input type="checkbox"/> Bonds | _____ |
| | | <input type="checkbox"/> Options | _____ |

INVESTMENT EXPERIENCE IN NOTES SECURED BY TRUST DEEDS OR REAL PROPERTY SALES CONTRACTS

_____ Years _____ Months

NUMBER OF PREVIOUS INVESTMENTS IN NOTES SECURED BY TRUST DEEDS OR REAL PROPERTY SALES CONTRACTS

OTHER INVESTMENTS CURRENTLY HELD BY THE INVESTOR

OTHER CONSIDERATIONS

OTHER EDUCATIONAL, BUSINESS, OR FINANCIAL EXPERIENCES, INVESTMENT CONSIDERATIONS, FINANCIAL SITUATIONS, TRAINING (INCLUDING SEMINARS, CONTINUING EDUCATION, ETC.), OR PROFESSIONAL LICENSES AND CERTIFICATIONS

INVESTMENT OBJECTIVE

OBJECTIVE OF INVESTOR(S) FOR PURCHASING OR INVESTING IN TRUST DEEDS OR REAL PROPERTY SALES CONTRACTS

INVESTOR ACKNOWLEDGEMENT

I certify (or declare) that the information provided in this questionnaire is true and correct to the best of my knowledge. I am providing this information for the purpose of determining whether or not trust deed or real property sales contract investments are suitable for me. I understand that the broker may request an annual update of this information and that the broker may request additional information regarding my suitability as an investor for each specific transaction. I acknowledge that investments in notes secured by trust deeds and real property sales contracts are subject to risk of loss of principal and monthly income.

INVESTOR SIGNATURE ➤	DATE	CO-INVESTOR SIGNATURE ➤	DATE
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BROKER ACKNOWLEDGEMENT

I certify (or declare) that I have reviewed this completed questionnaire and that I will use the information herein to aid in meeting my responsibility to make reasonable efforts to determine that proposed investments are suitable and appropriate for the investor(s) above based on the information provided.

NAME OF BROKER	LICENSE ID NUMBER	BROKER'S REPRESENTATIVE	LICENSE ID NUMBER
BROKER OR DESIGNATED REPRESENTATIVE SIGNATURE ➤			DATE

A broker shall maintain records of the information used to determine that an investment is suitable and appropriate for each investor/purchaser for at least four years.



IRON OAK

HOME LOANS, INC.

Iron Oak Home Loans, Inc.

Direct Deposit Agreement Form

Authorization Agreement

I hereby authorize Iron Oak Home Loans, Inc. to initiate automatic deposits to my account at the financial institution named below. I also authorize Iron Oak Home Loans, Inc. to make withdrawals from this account in the event that a credit entry is made in error.

Further, I agree not to hold Iron Oak Home Loans, Inc. responsible for any delay or loss of funds due to incorrect or incomplete information supplied by me or by my financial institution or due to an error on the part of my financial institution in depositing funds to my account.

This agreement will remain in effect until Iron Oak Home Loans, Inc. receives a written notice of cancellation from me or my financial institution, or until I submit a new direct deposit form to Iron Oak Home Loans, Inc.

Account Information

Name of Financial Institution: _____

Routing Number: _____

Account Number: _____ ☐ Checking | ☐ Savings

Signature

Authorized Signature (Primary): _____ Date: _____

Printed Name: _____

Authorized Signature (Joint): _____ Date: _____

Printer Name: _____

Please attach a voided check or deposit slip and return this form to Iron Oak Home Loans, Inc.